OMB No. 0651-0011



Atty. Docket No.		06148,004	10-00000	Serial No. 09/148,498					
Applica	nt	Kevin T. F	OLEY et al.					_	
Filing D	ate	Septembe	September 4, 1998 Group 3737						
		····· • ···	U.S	6. PATENT I	DOCUMENTS				
Examine Initial*	er		cument umber	Date	Name	Class		Sub Class	Filing Date
M	1.	Re. 35,8	16	06/02/98	Schulz		<u> </u>		
1	2.	5,748,76	7	05/05/98	Raab				
-	3.	5,749,36	2	05/12/98	Funda et al.				
-	4.	5,755,72	5	05/26/98	Druais				
-	5.	5,795,29	4 .	08/18/98	Luber et al.				
	6.	5,823,95	8	10/20/98	Truppe				
	7.	5,834,75	9	11/10/98	Glossop				
	8.	5,836,95	4	11/17/98	Heilbrun et al.				
	9.	5,848,96	7	12/15/98	Cosman	\prod			
	10	. 5,851,183	3	12/22/98	Bucholz				
	11	5,868,67	5 0	10/09/99	Henrion et al.				
	12	. 5,871,44	5	02/16/99	Bucholz				
	13	. 5,305,20	3	04/19/94	Raab				ı
	14	. 5,309,91	3	05/10/94	Kormos et al.				
Ü	15	. 5,371,778	3	12/06/94	Yanof et al.				
	. 16	. 5,383,454	4	01/24/95	Bucholz				
	17	. 5,389,10	1	02/14/95	Heilbrun et al.				
	18	. 5,402,80	1	04/04/95	Taylor				
	19	. 5,447,154	4	09/05/95	Cinquin et al.				
	20	. 5,483,96	1 .	01/16/96	Kelly et al.				
	21	. 5,494,034	4	02/27/96	Schlöndorff et al.				
	, 22	. 5,515,160)	05/07/96	Schulz et al.				
N	23	. 5,517,990)	05/21/96	Kalfas et al.				

 Atty. Docket No.
 06148,0040-00000
 Serial No.
 09/148,498

 Applicant
 Kevin T. FOLEY et al.

 Filing Date
 September 4, 1998
 Group 3737

U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
m	24.	5,526,576	06/18/96	Fuchs et al.		1	
	25.	5,551,429	09/03/96	Fitzpatrick et al.			
	26.	5,572,999	11/12/96	Funda et al.			·
	27.	5,603,318	02/18/97	Heilbrun et al.			
	28.	5,603,328	02/18/97	Zucker et al.			
	29.	5,617,857	04/08/97	Chader et al.			* .
	30.	5,622,170	04/22/97	Schulz			
	31.	5,630,431	05/20/97	Taylor			
	32.	5,638,819	06/17/97	Manwaring et al.			·
	33.	5,662,111	09/02/97	Cosman			
	34.	5,676,673	10/14/97	Ferre et al.			
	35.	5,016,639	05/21/91	Allen			
	36.	5,047,036	09/10/91	Koutrouvelis			
	37.	5,078,140	01/07/92	Kwoh			
	38.	5,080,662	01/14/92	Paul			
	39.	5,094,241	03/10/92	Allen			
	40.	5,097,839	03/24/92	Allen			
	41.	5,119,817	06/09/92	Allen			
	42.	5,142,930	09/01/92	Allen et al.			
	43.	5,178,164	01/12/93	Allen			
	44.	5,186,174	02/16/93	Schlöndorff et al.			
	45.	5,198,877	03/30/93	Schulz			
	46.	5,211,164	05/18/93	Allen			
	47.	5,222,499	06/29/93	Allen et al.			
	48.	5,230,338	07/27/93	Allen et al.			
2	49.	5,230,623	07/27/93	Guthrie et al.			
M	50.	5,249,581	10/05/93	Horbal et al.			0

, · ·		\\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\	<u> </u>		
Atty. Docket No.	06148,0040-00000	11 22 1993	Serial No.	09/148,498	
Applicant	Kevin T. FOLEY et a	Jan Ser	1		
Filing Date	September 4, 1998	ATENT & TRAD	Group 3737		

U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date
M	51.	5,251,127	10/05/93	Raab	i	1	
١	52.	5,257,998	11/02/93	Ota et al.			
	53.	5,279,309	01/18/94	Taylor et al.		·	
	54.	5,295,200	03/15/94	Boyer		•	
	55.	5,295,483	03/22/94	Nowacki et al.			
	56.	5,299,288	03/29/94	Glassman et al.			
	57.	4,791,934	12/20/88	Brunnett			
	58.	4,058,114	11/15/77	Soldner			
٠	59.	4,209,254	06/24/80	Reymond et al.			
	60.	4,259,725	03/31/81	Andrews et al.			
	61.	4,262,306	04/14/81	Renner			
	62.	4,341,220	07/27/82	Perry			
	63.	4,396,945	08/02/83	DiMatteo et al.			
	64.	4,398,540	08/16/83	Takemura et al.			
	65.	4,419,012	12/06/83	Stephenson et al.			
	66.	4,457,311	07/03/84	Sorenson et al.			
	67.	4,543,959	10/01/85	Sepponen			
	68.	4,583,538	04/22/86	Onik et al.			
	· 69.	4,592,352	06/03/86	Patil			······································
	70.	4,602,622	07/29/86	Bär et al.			
	71.	4,608,977	09/02/86	Brown			
	72.	4,638,798	01/27/87	Shelden et al.			
	73.	4,649,504	03/10/87	Krouglicof et al.			
	74.	4,686,997	08/18/87	Oloff et al.			
	75.	4,701,049	10/20/87	Beckmann et al.			
t	76.	4,705,395	11/10/87	Hageniers			-
	77.	4,706,665	11/17/87	Gouda		•	
M	78.	4,705,401	11/10/87	Addleman et al.		1	

Atty. Docket No. 06148,0040-00000 Serial No. 09/148,498 Kevin T. FOLEY et al. **Applicant Filing Date** September 4, 1998 **Group 3737** DELEMPATENT DOCUMENTS Examiner **Document** Sub Filing Date Initial* Number Date Name Class Class If Appropriate 78. 02/09/88 <u>4,723,544</u> Moore et al. 79. 03/29/88 Palestrant 4,733,661 80. 04/12/88 Goldwasser et al. 4,737,921 81. 3,821,469 06/28/74 Whetstone et al. 82. 09/28/76 3,983,474 Kuipers 83. 06/14/88 Zanetti 4,750,487 84. 09/20/88 Wurster et al. 4,771,787 85. 10/18/88 4,779,212 Levy 86. 4,782,239 11/01/88 Hirose et al. 87. 4,788,481 11/29/88 Niwa 88. 12/20/88 **Brunnett** 4,791,934 89. 12/27/88 Crum et al. 4,793,355 90. 02/21/89 4,805,615 Carol 91. 4,809,694 03/07/89 Ferrara 92. 06/06/89 Baumrind et al. 4,836,778 93. Chang et al. 06/27/89 4,841,967 94. 4,875,478 10/24/89 Chen 95. 01/30/90 Rose et al. 4,896,673 96. 4,931,056 06/05/90 Ghajar et al. 97. 07/24/90 Funakubo et al. 4,943,296 98. 4,945,914 08/07/90 Allen 99. 4,955,891 09/11/90 Carol 100. 4,991,579 02/12/91 Allen W 101. 4,705,401 11/10/87 Addleman et al.

Atty. Docket No.		06148,0040-00000	11 - V.	Serial No. 09/	148,498				
Applicant Filing Date		Kevin T. FOLEY et al. 111 12 12 13 2 1 140,498							
			☆						
		FOR	EIGN PATEN	T DOCUMENTS			-		
		Document Number	Date	Country	Class	Sub Class	Translation Yes or No		
M	1.	WO 96/11624	04/25/96	PCT	1)			
	2.	WO 96/32059	10/17/96	PCT					
	3.	WO 97/40764	11/06/97	PCT					
	4.	WO 88/09151	12/01/88	PCT					
	5.	WO 91/07726	05/30/91	PCT			· · · · · · · · · · · · · · · · · · ·		
	6.	WO 92/06645	04/30/92	PCT					
	7.	WO 94/23647	10/27/94	PCT	17				
	8.	WO 96/11624	04/25/95	PCT			_		
-	9.	WO 94/24933	11/10/94	PCT			· · · · · · · · · · · · · · · · · · ·		
	10.	DE 197 15 202 A1	4/11/97	Germany					
- 1	11.	0 018 166 A1	04/09/80	EPO	1				
	. 12.	0 326 768 A2	12/13/88	EPO					
	13.	0 359 773 B1	05/21/88	EPO					
	14.	0 427 358 A1	10/19/90	EPO		7/10			
	15.	0 456 103 A2	05/02/91	EPO					
	16.	0 469 966 A1	07/25/91	EPO					
m	17.	0 501 993 B1	11/21/90	EPO					
		OTHER DOCUMENTS (Inc	luding Autho	or, Title, Date, Pertine	ent Pages	, Etc.)			
M 1.		Weinstein, et al., <u>Spinal Pedicle Fixation: Reliability and Validity of Roentgenogram-Based</u> <u>Assessment and Surgical Factors on Successful Screw Placement</u> , <i>Spine</i> , Vol. 13, No. 9, 1988, pp. 1012-1018.							
	2.	Kelly, <u>The NeuroStation</u> No. 2, August 1995, pp.		nage-Guided, Framele	ess Stereo	taxy, Neuro	osurgery, Vol. 3		
	3.	Vector Vision: The Powe	er of Surgical	Tracking, BrainLab, 19	997.				
	4.	J.F. Mallet, et al., <u>Post-L</u> <u>Recklinghausen's Disea</u>							
M	5.	Bucholz, et al., Image-G Nervous System, Neuro							

Atty. Dock		06148,0040-00000							
Applicant		1 0)							
Filing Date		September 4, 1998 September 4, 1998 TRADE							
		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
uj	6.	Foley, et al., <u>Image-guided Intraoperative Spinal Localization</u> , <i>Intraoperative Neuroprotection Monitoring</i> , Part Three, 1996, pp. 325-340.							
	7.	Mazier, et al., Computer Assisted Interventionist Imaging: Application to the Vertebral Column Surgery, Annual International Conference of the IEEE Engineering in Medicine and Biology Society, Vol. 12, No. 1, 1990, pp. 0430-0431. Lavallée, et al., Computer Assisted Medical Interventions, NATO ASI Series, Vol. F 60, 1990, pp. 301-312.							
	8.								
	9.	Adams et al., Computer-Assisted Surgery, IEEE Computer Graphics & Applications, May 1990, pp. 43-51.							
110	10.	3-D Digitizer Captures the World, BYTE, October 1990, p. 43.							
	11.	Reinhardt, et al., Interactive Sonar-Operated Device for Stereotactic and Open Surgery, Proceedings of the Xth Meeting of the World Society for Stereotactic and Functional Neurosurgery, Maebashi, Japan, October 1989, pp. 393-397.							
	12.	Kato, et al., <u>A frameless, armless navigational system for computer-assisted neurosurgery</u> , <i>J Neurosurg</i> 74, 1991, pp. 845-849.							
	13.	Smith et al., <u>Multimodality Image Analysis and Display Methods for Improved Tumor Localizatio in Stereotactic Neurosurgery</u> , <i>Proceedings of the Annual International Conference of the IEEE Engineering in Medicine and Biology Society</i> , Vol. 13, 1991, p. 0210.							
	14.	Sautot et al., Computer Assisted Spine Surgery: a first step toward clinical application in orthopaedics, IEEE, 1992, p. 1071-1072.							
	15.	Cinquin, et al., <u>IGOR: Image Guided Operating Robot. Methodology, Applications</u> , IEEE EMBS Paris 1992, pp. 1-2.							
	16.	Alignment Procedure for the PixSys Two-Emitter Offset Probe for the SAC GP-8-3d Sonic Digitizer, PixSys, July 2, 1992, pp. 1-4.							
	17.	Smith, et al., Computer Methods for Improved Diagnostic Image Display Applied to Stereotactic Neurosurgery, Automedica, 1992, Vol. 14, pp. 371-382.							
	18.	Reinhardt, Neuronavigation: A Ten-Year Review, Neurosurgery, 1993, pp. 329-341.							
	19.	Bucholz, et al., <u>Intraoperative localization using a three dimensional optical digitizer</u> , <i>SPIE</i> Vol. 1894, Jan. 17, 1993, pp. 312-322.							
	20.	Smith, et al., <u>The NEUROSTATION™ - A Highly Accurate, Minimally Invasive Solution to Frameless Stereotactic Neurosurgery</u> , <i>Computerized Medical Imaging and Graphics</i> , Vol. 18, 1994, pp. 247-256.							
W.	21.	Bucholz, et al., <u>Halo vest versus spinal fusion for cervical injury: evidence from an outcome studies.</u> J. Neurosurg., Vol. 70, 1989, pp. 884-892.							

Atty. Docket	No.	06148,0040-0000	00	Serial No.	09/148,498
Applicant		Kevin T. FOLEY	et al.		
Filing Date		September 4, 19	 98	Group 3737	
M	22.	Awwad, et al., P	ost-traumatic Spinal ted Tomography, Vol	<u>Synovial Cyst wi</u> . 13, No. 2, 1989	th Spondylolysis: CT Features, Journal of pp. 334-337.
			O P E	1999	•
			ENT & TRAC		
10.00					
			Television and the second		
				•	
Examiner	<u> </u>	Manye		Date Consider	red 7/23/00
*Examiner:	Initial if	reference consid	conformance and r		conformance with MPEP 609; draw line Include copy of this form with next
Form PTO 14	49	· · · · · · · · · · · · · · · · · · ·	Paten	t and Trademar	k Office - U.S. Department of Commerce